



环境管理体系问卷调查表（都为必填项）

Company Name & Location: 公司名称和地址	xxxxxxx 有限公司	Date 日期:	20xx-xx-x
	xx 省 xx 市 xx 县 xxxx 社区 xxxxxxxxx	Scheme Ref 编号:	xxxxxx

NOTE:

This information is necessary to complete the **Customer Complexity Profile**.
This form must accompany the **Environmental Management System ISO 14001 Contract Review**.

注意:

此信息对于完成客户复杂性状况是必须的;
此表格必须与环境管理体系 ISO 14001 合同评审一同提交。

Part 1 – PROCESSES & OPERATIONS 第一部分-过程和运行

Give details of the activities, processes or operations including the raw materials and substances used by the organisation: 活动、过程或运行包括的主要原材料和辅料

活动/过程【如生产（服务）过程、工艺流程】:

使用材料/辅料:

Details of Process(es) and any equipment or machinery used by the organisation:

生产（服务）过程涉及的主要生产设备:

Details of Company vehicle(s) Commercial Fleet / Passenger Vehicles / Forklift trucks / tow trucks / Motorised units: 公司的运输、装运、客运车辆、叉车、拖车、机动车等:

Details of Finished Product(s) or Finished Assembly or Service(s) Provided: 成品或提供的服务:

Total number of employees 员工
总人数含全职、兼职以及轮班

Please include all employees (full, part time or shift)

Shift Pattern/employees on shift
轮班人数

Number of Sites 审核场所数量

Part 2 – LEGAL FRAMEWORK 第二部分-法规

Authorisations, Permits or Consents issued by the Environment Agency, Local Authority or other Government Body, detail the type of permit, the regulated components and any limits imposed.
地方当局、环境协会及政府机构管制或授权的生产许可证书，排污许可证等

Industry Codes of Practice / manufacture / provide services in accordance with International or National Standards? If so please give details below. 所提供的生产或服务是否涉及国内或国际标准？如果是，请提供详细内容

Part 3 – SITE RELATED FACTORS 第三部分-现场因素

Please state the **proximity** of the organisation to **sensitive environments** such as Human Communities / Flora / Fauna / Wetland / Area of outstanding Natural Beauty etc. that may be impacted by the organisations activities. 组织是否接近敏感环境，如社区/植物群/动物区/湿地/杰出自然风景区等可能受到组织活动的影响。

Part 4 – POTENTIAL INTERACTIONS WITH THE ENVIRONMENT (quantify the risk level high/medium/low in the box) 第四部分-潜在的环境影响（用高中低 H/M/L 表示）



Emissions to Air. What potential exists for the business activities to produce emissions to air (fugitive / point or diffuse) dust or particles, gas or smoke VOC's odours acids bases greenhouse gas micro-organisms heavy metals and other emissions 业务活动中可能产生的空气污染、粉尘或颗粒物、气体或烟雾挥发性有机化合物、温室气体微生物、重金属和其他排放	
	Potential Risk Level
Releases to Water. What potential exists for the business activities to produce or contribute to the discharge any effluent or fowl or contaminated water to controlled water systems (sewers / drains / rivers); or release to surface terrain or ponds 业务活动中可能产生的废水或家禽水排放（下水道、排水沟和河流）；或排放至地表/池塘等	
	Potential Risk Level
Release to Land. What potential exists for the business activities to produce or contribute to land / ground contamination from waste of chemical/biological processes or substances storage or leakage / spillage / general littering / natural absorption etc. 业务活动中可能产生的通过物质储存/泄漏/溢出/一般垃圾/自然吸收等造成的土地污染，	
	Potential Risk Level
Use of Materials, Energy & Natural Resources. Are the business activities managed / controlled in such a way to influence and reduce the depletion of natural resources, energy consumption, what initiatives for renewable resources etc. 是否有效管理/控制业务活动减少能源或自然资源的消耗；对再生资源采取什么措施等	
	Potential Risk Level
Energy Emitted. What potential exists for the business activities to generate source of emissions of heat, light, electromagnetic and ionising radiation, noise and vibration and their potential environmental impacts 企业业务活动可能产生的热量、光源、电磁、电离辐射排放、噪音或振动，以及潜在的环境影响）	
	Potential Risk Level
Waste. What waste is generated by the business; the characteristics of waste materials / substances if hazardous / non-hazardous and their environmental impact; arrangements for disposal; initiatives to enable avoidance or reduction and reuse / recycling. 废弃物和处理方法（产生的废弃物；废弃物的特性/是否为有害物质/无害物质及环境影响；采取措施避免或减少和重复使用/回收等）	
	Potential Risk Level
Part 5 – COMPLAINTS 第五部分-投诉	
Give details of any environmental complaints or litigation against your company (current or within the last three years). If so please provide details 近三年内公司有无受到环境方面的任何投诉以及相关诉讼，如果有请详细说明	
Part 6 – PREVIOUS ENVIRONMENTAL PERFORMANCE 第六部分-环境绩效	
Give details of any environmental incidents, accidents and/or potential emergency situations, or any previous environmental problems that have occurred or been contributed to by your organisation. 企业发生过的任何环境事故或潜在紧急情况，或之前的环境问题。	



The following tables provide the link between the risk complexity categories and the industry sectors that would typically fall into that category. 下表提供了风险复杂程度分类和属于该类别的行业之间的联系

It should be recognised that not all organizations in a specific sector will always fall in the same complexity category. Flexibility is allowed in the contract review procedure to ensure that the specific activities of the organisation are considered in determining the complexity category. 应认识到，并不是所有同一行业的企业都有相同的风险复杂程度，应在合同评审阶段灵活判断，确保根据企业的具体活动决定复杂程度

For example, even though many businesses in the chemical sector should be classified as “high complexity”, an organization which would have only a mixing free from chemical reaction or emission and/or trading operation could be classified as “medium” or even “low complexity”. 比如，尽管很多活动在化学领域应归类为“高风险”，若企业只涉及混合（而非化学反应或排放）和/或贸易，应归类为“中风险”或“低风险”

Complexity category 复杂程度	Business sector 业务范围
High 高	mining and quarrying 采矿和采石
	oil and gas extraction 油和气的开采
	tanning of textiles and clothing 纺织品与服装的染色
	pulping part of paper manufacturing including paper recycling processing 纸张生产的纸浆生产部分，包括纸张的再生过程
	oil refining 炼油
	chemicals and pharmaceuticals 化学品与药品
	primary productions – metals smelting 基本生产-金属
	non-metallics processing and products covering ceramics and cement 包含陶瓷、水泥的非金属加工过程与产品
	coal based electricity generation 煤电
	civil construction and demolition 民用建设与拆除
	hazardous and non hazardous waste processing e.g. Incineration etc.有害与无害的废物处理，如焚烧
	effluent and sewerage processing 污水处理

Complexity category 复杂程度	Business sector 业务范围
Medium 中	Fishing / farming / forestry 渔/农/林
	textiles and clothing except for tanning 纺织品与服装，不包括染色
	manufacturing of boards, treatment/impregnation of wood & wooden products 板的制造，木材和木制品的处理/填充
	paper production and printing excluding pulping 纸张制造和印刷，不包括纸浆生产
	non metallics processing and products covering glass, clay, lime etc.包含玻璃、黏土、石灰等非金属加工过程和产品
	surface and other chemically based treatment for metal fabricated products excludes primary production 金属产品的表面处理与其他化学处理，不包括基础生产
	surface and other chemically based treatment for general mechanical engineering 一般机械加工的表面处理与其他化学处理
	production of bare printed circuit boards for electronics industry 电子工业用印刷线路板的生产
	manufacturing of transport equipment - road, rail, air, ships 交通设备的制造-陆上，铁路，航空和水运设备
	non coal based electricity generation and distribution 非煤的发电与电的输送



Complexity category 复杂程度	Business sector 业务范围
	gas production, storage and distribution (note extraction is graded high)气的生产、储存与输送（注：气的开采属高风险）
	water abstraction, purification and distribution including river management (note commercial effluent treatment is graded as high)水的汲取、净化与供给，包括河流管理（注：商业污水处理属高风险）
	fossil fuel wholesale and retail 化石燃料的批发与零售
	food and tobacco – processing 食品与烟草-加工
	transport and distribution - by sea, air, land 交通与运输-海运、空运、陆地运输
	commercial estate agency, estate management, industrial cleaning, hygiene cleaning, dry cleaning normally part of general business services 商业地产公司、房地产管理和作为一般服务一部分的工业清洗、卫生清洗与干洗
	recycling, composting, landfill (of non hazardous waste)（无害物质的）回收、堆肥与填埋
	technical testing and laboratories 技术试验与实验室
	healthcare/hospitals/veterinary 医疗/医院/兽医
	leisure services and personal services excludes hotels/restaurants 不包括宾馆/饭店的娱乐服务和个人服务

Complexity category 复杂程度	Business sector 业务范围
Low 低	hotels/restaurants 宾馆/饭店
	wood and wooden products, excluding manufacturing of boards, treatment and impregnation of wood 木材与木制品（除板的制造、木材的加工与填充的）
	paper products, excluding printing, pulping and paper making 纸制品（除印刷、纸浆的生产与纸张制造）
	rubber and plastic injection moulding, forming and assembly - excludes manufacturing of rubber and plastic raw materials that are part of chemicals 橡胶和塑料的注塑、成型和组装-不包括橡胶和塑料原材料的生产（该生产属化学品范畴）
	hot and cold forming and metal fabrication, excluding surface treatment and other chemical based treatments and primary production 冷/热成型和金属制造，不包括表面处理、其他化学品基础处理与初级生产
	general mechanical engineering assembly, excluding surface treatment and other chemical based treatments 一般机械加工组装，不包括表面处理、其他化学品基础处理
	wholesale and retail 批发与零售
	electrical and electronic equipment assembly, excluding manufacturing of bare printed circuit boards 电子、电工设备的组装，不包括印刷线路板的生产

Complexity category 复杂程度	Business sector 业务范围
Limited 受限	corporate activities and management, HQ and management of holding companies 社团活动与管理，总部和股份公司的管理
	transport and distribution - management services with no actual fleet to manage 交通与运输-不含运输设备的管理服务
	Telecommunications 电子通讯
	general business services, except commercial estate agency, estate management,



Complexity category 复杂程度	Business sector 业务范围
	industrial cleaning, hygiene cleaning, dry cleaning 一般商业服务，除商业房地产、房地产管理、工业清洗、卫生清洗与干洗
	education services 教育服务

Complexity category 复杂程度	Business sector 业务范围
Special 特殊	nuclear 核
	nuclear electricity generation 核能发电
	storage of large quantities of hazardous material 大量有害物质的存储
	public administration 公共行政管理
	local authorities 地方当局
	organizations with environmental sensitive products or services 有环境敏感产品与服务的企业

Complexity Categories of Environmental Aspects 环境因素复杂程度分类

The provisions specified in MD 5 are based on five primary complexity categories of the nature and gravity of the environmental aspects of an organization that fundamentally affect the audit time.

在MD5规定的条款是基于组织环境因素的性质和程度的五种主要复杂程度分类，从根本上影响审核时间。

These are:

High—environmental aspects with significant nature and gravity (typically manufacturing or processing type organizations with significant impacts in several of the environmental aspects);

高风险-环境因素的性质和严重程度较高（典型的有：环境因素有重要影响的生产或加工型企业）

Medium—environmental aspects with medium nature and gravity (typically manufacturing organizations with significant impacts in some of the environmental aspects);

中风险-环境因素的性质和严重程度中等（典型的有：环境因素有重要影响的生产型企业）

Low—environmental aspects with low nature and gravity (typically organizations of an assembly type environment with few significant aspects);

低风险-环境因素的性质和严重程度较低（典型的有：几乎没有重要环境因素的装配型企业）

Limited—environmental aspects with limited nature and gravity (typically organizations of an office type environment);

受限-环境因素的性质和程度受到限制（典型的有：办公室为主的企业）

Special—these require additional and unique consideration at the audit planning stage.

特殊-在审核计划阶段需要额外的和单独的考量