



FSC COC 认证申请表 Certification Application Form

Registered Name: 注册名称:	
Any Other Trade Name (If applicable): 任何其他贸易名称(如适用):	
VAT Number 税号:	
License Address: 注册地址:	
Operation Address: 经营地址:	

If multiple address certification application? 是否多地址认证申请? ☐ Yes, ☐ No

If Yes, Please complete the following: 多地址申请请填写以下内容填写:

NO. SITES: 多地址数量: <input type="checkbox"/> 0 – 20 PARTICIPATING SITES <input type="checkbox"/> 21 – 100 PARTICIPATING SITES, <input type="checkbox"/> 101 – 250 PARTICIPATING SITES, <input type="checkbox"/> 250 – 400 PARTICIPATING SITES, <input type="checkbox"/> > 400 PARTICIPATING SITES					
Company Name and Address (English and Chinese): 公司名称和地址 (中英文)	Activities performed a. Brokers/traders with physical possession b. Brokers/traders without physical possession c. Distributor/wholesaler d. Gathering of non-wood products e. Logging f. Primary processor g. Printing and related service h. Publishing activities i. Retailers j. Secondary processor l. Other	Number of employees: 员工人数:	Has the site purchased, sold or labelled certified materials from the previous evaluation? 参与地点是否购买、出售或贴上先前评估的认证材料?	Does the Participating Site implement: 参与网站是否实施: Supplier verification program for reclaimed materials according to FSC-STD-40-007 根据 FSC-STD-40-007 对回收材料的供应商验证程序 High risk outsourcing to a non-FSC-certified contractor? 高风险外包给非 fsc 认证的承包商?	
			YES <input type="checkbox"/> , NO <input type="checkbox"/>	YES <input type="checkbox"/> , NO <input type="checkbox"/>	YES <input type="checkbox"/> , NO <input type="checkbox"/>
			YES <input type="checkbox"/> , NO <input type="checkbox"/>	YES <input type="checkbox"/> , NO <input type="checkbox"/>	YES <input type="checkbox"/> , NO <input type="checkbox"/>
Do all Participating Sites have a common ownership? 所有参与网站是否拥有共同的所有权? Yes <input type="checkbox"/> No <input type="checkbox"/>					
If applicable, please indicate the number and the type of the NCs issued to the Central Office in the previous evaluation: 如适用, 请注明在上一次评估中向中心办公室发出的不符合的数量和类型:					
In which site(s) is the central function located? 中心办公室位于哪个站点?					
Is the Organization's chain of custody centrally administered and subject to central review? 组织的监管链是否由中心办公室管理并接受中心办公室审查?					
Are all the relevant sites subject to the Organization's internal audit program and have been they audited in accordance with that program prior to NEO starting its assessment? 是否所有相关场所都接受了组织的内部审核计划, 并在 NEO 开始评估之前按照该计划进行了审核?					
Have there been any complaints? If yes, have been taken corrective and/or preventive actions? 有什么投诉吗?如果有, 是否已采取纠正和/或预防措施?					



Number of employees 员工人数：			
Annual Turnover: total annual sales of certified and non-certified wood or paper products in USD\$ for the most recently completed fiscal year. For multi-sites, the annual turnover corresponds to aggregate turnover of all sites included in the multi-site. 年营业额：认证和未经认证的木制品或纸制品的最近一个财政年度年销售总额（以美金计算），对于多场所，年营业额为多场所内所有场所的总营业额。			
Revenue: Revenue refers to the most recently completed fiscal year and means the total Revenue, which is the exact number to the nearest whole USD. 收入：指最近完成的财政年度，指总收入，即最接近整数美元的准确数字。			
Legal representative 法人		Contact Person 联系人	
e-mail 法人邮箱：		e-mail 联系人邮箱：	
Tel. 法人联系电话：		Tel. 联系人电话：	
Fax 传真：		Function 职位：	
Zip/Postal Code: 邮政编码：		Website 网址：	
First Certification 是否是第一次认证		Type Of Company 企业类型	
<input type="checkbox"/> BROKERS/TRADERS WITH PHYSICAL POSSESSION <input type="checkbox"/> BROKERS/TRADERS WITHOUT PHYSICAL POSSESSION <input type="checkbox"/> DISTRIBUTOR/WHOLESALE <input type="checkbox"/> GATHERING OF NON-WOOD PRODUCTS <input type="checkbox"/> LOGGING* <input type="checkbox"/> PRIMARY PROCESSOR <input type="checkbox"/> PRINTING AND RELATED SERVICE <input type="checkbox"/> PUBLISHING ACTIVITIES <input type="checkbox"/> RETAILERS <input type="checkbox"/> SECONDARY PROCESSOR <input type="checkbox"/> OTHER: _____			
Has the Organization had current or previous applications or certifications with FSC, PEFC or other certification schemes in the last five (5) years? 在过去五(5)年内，本组织是否有 FSC、PEFC 或其他认证计划或以前的申请过认证？ Yes <input type="checkbox"/> No <input type="checkbox"/> If YES, specify 如果是，详细说明： -Certification body 认证机构： -Certificate No. 认证号码： -Reason for the certificate termination 证书终止的原因： Please provide the latest available FSC audit report 请提供最新可用的 FSC 审核报告			
Transfer of certification: 是转机构吗	<input type="checkbox"/> Yes <input type="checkbox"/> No if Yes, specify: Is the certificate valid? <input type="checkbox"/> Yes <input type="checkbox"/> No (if No, it is not possible to carried out the transfer of the certification)		



	<p>证书是否有效，如证书已被暂停，则无法转机构。</p> <p>Certificate No.证书号:</p> <p>Is it the first certification transfer during the 5 years validity? <input type="checkbox"/> Yes <input type="checkbox"/> No (if No, please describe:)</p> <p>是否五年内第一次转机构，如不是，请说明具体情况:</p> <p>Are there any major NC pending from audit report of previous audit? <input type="checkbox"/> Yes <input type="checkbox"/> No (if Yes, it is not possible to carried out the transfer of the certification)</p> <p>以前的审核报告中是否有未关闭的严重不符合，如果有，则无法转机构</p> <p>Type of the next audit:下次审核的类型:</p> <p><input type="checkbox"/> Surveillance audit 1, <input type="checkbox"/> Surveillance audit 2, <input type="checkbox"/> Surveillance audit 3, <input type="checkbox"/> Surveillance audit 4, <input type="checkbox"/> Re-certification.</p> <p>INDICATE ANY VARIATIONS COMPARED TO THE INFORMATION PROVIDED BY THE CUSTOMER IN THE INFORMATION QUESTIONNAIRE 基本信息有变化吗，如有，请列出:</p>
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<p>Main processes of the organization related to the certification scope:</p> <p>与认证范围相关的企业主要流程:</p>
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<p>Are some activities outsourced 有外包吗:</p> <p>If Yes, Please complete the following 如果有，请完成以下内容</p>
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No. of Contractor 外包商编号	Company Name and Address 名称和地址	Activities performed: 外包的活动:	Is the outsourcer FSC-CoC certified? (if Yes, please provide the certificate code) 外包商是否有 FSC 证书，如有请提供证书号码

<p>Product group list:</p> <p>产品组清单:</p>				
Product Group (according to FSC-STD-40-004a) * 产品组（根据 FSC-STD-40-004a）	FSC declaration FSC 声明 (FSC 100%, FSC Mix, FSC Recycled, FSC Controlled Wood)	System of Control 控制系统 (transfer, percentage, credit)	Species 树种 (according to Germplasm Resources Information Network (GRIN) Taxonomy Species Online Database)	Trade name 贸易名称



附录： 年费计算表

注：年费应按照最新版 FSC-POL-20-005 计算。汇率按照 <https://www.oanda.com/> 最新的汇率进行转换并报价。

FSC-POL-20-005 V3-5 EN ANNUAL ADMINISTRATION FEE (AAF)

Effective date: 1 January 2025

ANNEX 1 AAF CALCULATION TABLES FOR COC CERTIFICATION

1. The following steps and table shall be applicable to the determination of AAF chargeable on CoC certification (for single site certification omit steps a and b):

- Determine the exact Forest Products Turnover of each individual site.
- Calculate the aggregated Forest Products Turnover for all sites by adding together the values in step a.
- Look up the AAF Class and AAF Class Minimum Turnover in Table 1 below, according to the Forest Products Turnover (Column 2). For example, AAF Class Minimum Turnover for Class 1 is '0', for Class 2 is 1 Million USD and so on.
- Derive the Excess Turnover as follows:

$$\text{Excess Turnover} = \text{Forest Products Turnover} - \text{AAF Class Minimum Turnover}$$

- Look up the Base Fee and Variable Fee in Table 1 below according to whether the certification is for a Processor or Trader.
- Use the Base Fee, Variable Fee and Excess Turnover values to calculate the final AAF using the following formula:

$$AAF = \text{Base Fee} + \left(\frac{\text{Excess Turnover}}{\$1,000,000} \times \text{Variable Fee} \right)$$

NOTE: The value of \$1,000,000 in the formula is a fixed number applicable to all AAF Classes and is not linked to the AAF Class Minimum Turnover.

- Round up the final AAF to the nearest whole number (e.g. 99.20 USD to 100 USD). If this final amount is below the Minimum AAF specified below in Clause 2 then the Minimum AAF figure will be charged.

Table 1. AAF for Processor and Trader certification (in USD). Variable fees charged per USD 1 million in Forest Products Turnover above the minimum within that Class.



Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
Class	Forest Products Turnover	Processor Base (USD)	Processor Variable (USD)	Trader Base (USD)	Trader Variable (USD)
Class 1	0 – 1 Million	0.00	660.21	0.00	198.06
Class 2	> 1 – 5 Million	660.56	216.07	198.52	64.83
Class 3	> 5 – 25 Million	1525.44	90.03	458.56	27.01
Class 4	> 25 – 100 Million	3326.02	36.01	999.55	10.81
Class 5	> 100 – 500 Million	6027.48	21.60	1811.03	6.48
Class 6	> 500 – 2,000 Million	14669.33	18.01	4402.19	5.40
Class 7	> 2,000 Million	41678.05	15.01	12499.58	4.50

EXAMPLE: A Processor with a Forest Products Turnover of USD 6,234,567 would fall into AAF Class 3 (USD > 5 – 25 Million) for which the base AAF is USD 1428.32 and the Variable Fee is USD 84.30 per USD million. The final AAF would thus be calculated in two steps as follows:

$$\text{Excess Turnover} = \$6,234,567 - \$5,000,000 = \$1,234,567$$

$$\text{AAF} = \$1525.44 + \left(\frac{\$1,234,567}{\$1,000,000} \times \$90.03 \right) = \$1525.44 + \$111.15 = \$1637$$

2. The minimum AAF is USD 97 per year for Processor and Trader certification.

(Signature of the Data Subject)

(Place and Date)